



## **Privacy Policy**

The following describes how ATIS, Inc. handles your personal information, and what steps we take to protect your privacy. We do not use your personal information for marketing purposes.

## **Who We Are**

ATIS, Inc. is a privately held company that provides investment services on a fully disclosed basis.

## **Accessing Information**

Access to customer information is authorized for ATIS, Inc. for business purposes only. It is based on our need to know such information to assist in providing products or services to you. ATIS, Inc. requires its employees who have access to customer information to protect it and keep it confidential.

## **Collecting Information to Conduct Business**

As authorized, ATIS, Inc. collects information about you to help serve your financial needs, provides customer service, offer new products or services, and fulfill legal and regulatory requirements. The type of information that you may need to provide varies according to the products or services you request, and may include:

- Information included in your application and related forms (such as name, address, Social Security number, assets and income)
- Information about your payment history, account balances of other products or services purchased

## **Security Standards**

ATIS, Inc. continues to assess new technology and equipment to evaluate its ability to provide additional protection of your personal information. We safeguard your information in accordance with federal standards and established security standards and procedures. Measures we take in this regard include implementation of physical, electronic and procedural safeguards. In order to protect against any anticipated threats or hazards to the security or integrity of customer records and information, customer files are placed in secure cabinets and facilities.

## **Sharing Information**

In the course of conducting business, we may disclose some of the previously described information about you to non-affiliated third parties, as allowed by law, for the purpose of:

- Providing customer service or account maintenance
- In response to a subpoena, to prevent fraud, or comply with an inquiry by a government agency or regulator, and
- To perform services for us or on our behalf to develop or maintain software