

**ATIS, INC.
9717 PRAIRIE AVENUE
HIGHLAND, IN 46322**

FORM CRS – CLIENT RELATIONSHIP SUMMARY

Introduction

ATIS, Inc. is registered with the Securities and Exchange Commission (SEC) as a Broker/Dealer that charges a fee per transaction, based on the size of a transaction. Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. The Firm is a member of FINRA and the Securities Investor Protection Corporation. Free and simple tools are available to research firms and financial professionals at investor.gov/CRS which also provides educational materials about broker dealers, investment advisors, and investing. This is the most recent version of our Form CRS, replacing May 26th of 2020 addition.

Relationships and Services - What investment services and advice can you provide me with?

We offer brokerage services to our retail investors. Our brokerage services include buying and selling securities. Securities include stocks, bonds, mutual funds, options, CD's, and annuities. We will make investment recommendations to retail investors upon request. We will also accept limited trading authorization to the extent of investment purchases through the open markets. There are no account minimums. The client makes the ultimate decision regarding the purchase or sale of investments. Account monitoring is conducted on a quarterly basis. The Firm will have discretionary authority of an account only upon written contract. Otherwise, the client makes the ultimate decisions on buying or selling investments.

Questions to ask us:

- Given my financial situation, should I choose a brokerage service? Why or why not?
- *How will you choose investments to recommend to me?*
- *What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?*

What fees will I pay? What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?

A retail investor is charged a commission on transactions that occur in the client's account. The investor is charged on every trade. The more trades created, the more it costs the client. This could create incentive for the broker to encourage a client to trade more often. So, be aware that there should be a strategy as to why trades are being recommended.

When we provide you with a recommendation, we must act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendations we provide you. Here are some examples to help you understand what this means:

- Your broker calls and suggests a change from one individual stock position to another. Those changes cost you two commission amounts, one charge for each transaction.
- Your broker recommends that you sell a mutual fund and buy another. The broker makes a commission on the sales charge from the new mutual fund purchase.

You will pay fees and costs, whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Questions to ask us:

- Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?
- How might your conflicts of interest affect me, and how will you address them?

How do your financial professionals make money? Registered representatives of the Firm may be paid a salary or paid a commission based on business conducted. Conflict of interest for brokerage accounts may arise in the form of extra transactions created by representatives paid commission.

Do you or your financial professionals have legal or disciplinary history?

Neither the Firm nor its financial professionals have any legal or disciplinary history. This may be verified by going to investor.gov/CRS for a free and simple search tool to research the Firm and its financial professionals.

Conversation Starter – As a financial professional, do you have any disciplinary history? For what type of conduct?

Additional Information

For more information about ATIS, Inc.'s brokerage and investment advisory services, you can visit www.atiswealth.com. You may also request a copy of our *relationship summary*.

You may call us at 219-836-2102 to request up-to-date information about our Firm and request a copy of the *relationship summary*.

Conversation Starter – Who is my primary contact person? Is he or she a representative of an investment advisor or a broker/dealer? Who can I talk to if I have concerns about how this person is treating me?